JC10 Rec'd PCT/PTO 09 JUN

U.S. Patent and Trademain Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 28069-608 NATI

nown, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DAT PCT/GB2002/005680 13 December 2002 13 December 2002 TITLE OF INVENTION A TOPICAL NANOPARTICULATE SPIRONOLACTONE FORMULATION APPLICANT(S) FOR DO/EO/US VERGNAULT, Guy, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔀 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🔀 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19

of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

JC20 Rec'd PCT/PT0 09 JUN 2005 O-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (15t/newn, seer 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
10/228244			PCT/GB2002/005680		28069-608-NATL			
21. The following fees are submitted:					Applicant use	Office use only		
a) Basic na	ational fee			\$300.00	\$ 300.00			
b) Examination fee			\$200.00		\$ 200.00			
c) Search fee\$500.00					\$ 500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00			
Additional f	ee for specification and d							
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
		each additional 50 or fraction round up to a whole number)						
		0.001(1						
- 100 =	/50 =			× \$250.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$			
CLAIMS	NUMBER FII	.ED	NUMBER EXTRA	RATE	\$			
Total claims	23	- 20 =	3	× \$50.00	\$ 150.00			
Independent clai	ims 4	- 3 =	1	\$200.00	\$ 200.00			
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$ 0.00			
			TOTAL OF ABOVE CAL	CULATIONS =	\$ 1,350.00			
Applicant of by ½.	claims small entity status.	See 37 CFR	1.27. The fees indicated above a	are reduced	\$			
	<del></del>		<del>- 1 1</del>	SUBTOTAL =	\$ 1,350.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$			
TOTAL NATIONAL FEE =					\$ 1,350.00			
	g the enclosed assignment	\$						
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =					\$ 1,350.00			
						\$		
Amount to be refunded:						\$		
Amount to be charged						<u> </u>		
a. A check in the amount of \$ 1,350.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0311 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL COR	RESPONDENCE TO:	$\alpha$ .						
MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and Chrysler Center, 24th Floor			POPEO, P.C.	SIGNATURE	U. Serune	<u> </u>		
666 Third Avenue		l	eslie A. Serur	ian	<b>•</b>			
New York, New York 10017  NAME								
Customer No. (35437) 35,353			ON AUTOES					
Express Mail Label No.: EV 452426381 US REGISTRATION NUMBER								

## IN THE UNITED STATES

RECEIVING OFFICE (BO/US) 9 JUN 2005

DUGGERATE (BO/US)

ELECTED OFFICE (EO/US)

	ELECTED OFFICE (EO/US)							
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED.						
PCT/GB2002/005680	13 December 2002	13 December 2002						
TITLE OF INVENTION								
A TOPICAL NANOPARTICULATE SPIRONOLACTONE FORMULATION								
APPLICANT(S)								
Guy VERGNAULT, et al.								

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## VERIFIED CERTIFICATION OF EXPRESS MAILING DATE

I declare that on <u>09 June 2005</u>, the following paper(s) and/or fee(s) were deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Express Mail Label No. <u>EV 452426381 US</u>, addressed to "Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450":

- International Application No. PCT/GB2002/005680 as Published (49 pgs.);
- Form PTO 1390 (2 pgs.);
- Form PCT/ISA/220 (10 pgs.);
- Form PCT/IPEA/416 (6 pgs.);
- Preliminary Amendment (7 pgs.);
- Information Disclosure Statement (2 pgs.);
- Form PTO 1449 (1 pg.);
- Copies of 7 References as Listed on Form PTO 1449;
- Verified Certification of Express Mailing;
- Check No. 2661 in the amount of \$1,350.00 to cover filing fee; and
- Return Receipt Postcard

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Leslie A. Serunian

Typed or Printed Name of Person Making This Verified Statement

Signature of Person Making This Verified Statement

Date: June 9, 2005

Correspondence Address
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
Chrysler Center, 24<sup>th</sup> Floor
666 Third Avenue
New York, New York 10017 USA
Telephone: (212) 935-3000

Telephone: (212) 935-3000 Facsimile: (212) 983-3115 Customer No. 35437